



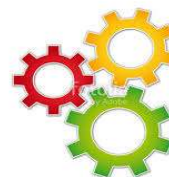
HOME Funds' Programmes

DG HOME
MFF team



Main provisions in CPR and HOME Regulations

- CPR - Art. 17 on the content of programmes and programme template in Annex VI
- HOME Regulations – provisions on the scope of support, co-financing rates, programmes and operating support



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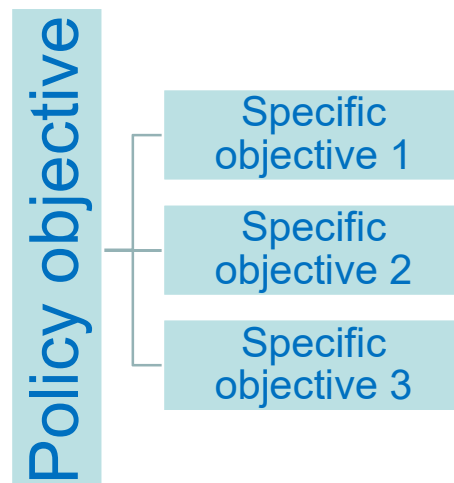
Annex VI CPR – Programme structure

1. Programme strategy
2. Technical Assistance
3. Financial plan
4. Framework for programme implementation
5. Appendices

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1. Programme strategy



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1. Programme strategy

- Challenges identified at national level based on needs assessments/strategies
 - How will the programme address these challenges?
- Overview of the state of implementation of relevant EU acquis and EU action plans
 - How will the Fund support their development?

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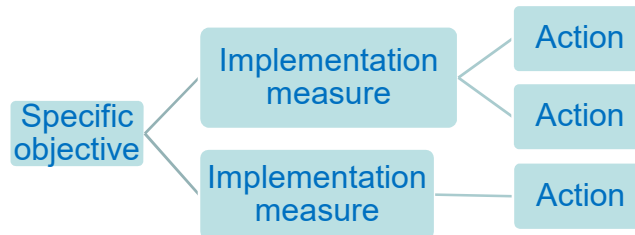
1. Programme strategy - content of a specific objective -

- Description
- Performance framework (common indicators, baseline, targets, milestones, methodology)
- Breakdown by type of intervention

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Specific Objective - description



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Specific Objective - Description

- Initial situation, challenges and responses
- Justification for selection of SO (if applicable)
- Implementation measures – Annex II HOME Regulations
- Indicative list of actions – Annex III HOME Regulations (non-exhaustive)
- Justification for operating support + indicative list of beneficiaries and main tasks to be supported

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Specific objective - Performance Framework

- Aims to monitor, report on and evaluate programme performance during implementation
- Consists of:
 - Common output and result indicators in Annex VIII HOME Funds Regulations
 - Baselines for result indicators
 - Milestones for 2024
 - Targets for 2029

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Performance Framework - baselines

- A reference value for setting targets and monitoring progress
- Baseline for output indicators is zero
- For all result indicators, established ex ante, different from zero

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Performance Framework - targets

- Cumulative quantified target values for output and result indicators
- To be achieved by end 2029
- Targets for result indicators could be either expressed in absolute numbers or in percentages
- Adjusted for additional allocations (e.g. thematic facility, mid-term review)

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Performance Framework - milestones

- Intermediate targets for output indicators
- To be achieved by end 2024
- When setting the milestones, the amount of the mid-term review shall not be taken into account (it is effective for the calendar year 2025)
- As of 2025, the allocation of the Thematic Facility will, where appropriate, take account of achieving the milestones

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Performance Framework - methodologies

- Criteria applied to select indicators (not relevant for HOME Funds)
- Data or evidence used, data quality assurance and the calculation method
- Factors that may influence the achievement of the milestones and targets and how they were taken into account
- Methodology should be available upon request to the Commission

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Performance Framework – table

Table 1: Output indicators

| Specific objective | ID | Indicator | Measurement unit | Milestone (2024) | Target (2029) |
|--------------------|----|-----------|------------------|------------------|---------------|
| | | | | | |

Table 2: Result indicators

| Specific objective | ID | Indicator | Measurement unit | Baseline | Reference year | Target (2029) | Measurement unit for target | Source of data | Comments |
|--------------------|----|-----------|------------------|----------|----------------|---------------|-----------------------------|----------------|----------|
| | | | | | | | | | |

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Indicative breakdown by type of intervention

- Type of intervention' ≈ 'key words'
- For AMF and BMVI: types of intervention per SO (Annex VI table 1)
- For ISF: horizontal types of intervention (Annex VI table 2)

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Indicative breakdown by type of intervention

Example: AMF Annex VI table 1

SO Common European Asylum System

- 001** Reception conditions
- 002** Asylum procedures
- 003** Implementation of the Union acquis
- 004** Children in migration
- 005** Persons with special reception and procedural needs
- 006** Resettlement
- 007** Solidarity efforts between Member States
- 008** Operating support

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Indicative breakdown by type of intervention

Table 3

| Specific objective | Type of intervention | Code | Indicative amount (Euro) |
|--------------------|----------------------|------|----------------------------------|
| SO 1 (AMF) | Reception conditions | 001 | amount (Union contribution only) |

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2. Technical Assistance

- TA Specific Objective
- Art. 30(5) – TA
 - Flat-rate of 6%
 - 100% co-financing of Union contribution
- Art. 32 – Financing not linked to costs for TA
 - Co-financing up to 75%

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2. Technical Assistance

Art. 32 – Financing not linked to costs for TA

- Targeted administrative capacity building actions for the effective administration of the Funds
- Based on the fulfilment of conditions or on the achievement of results

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2. Technical Assistance - flat rate

Table 4

| Type of intervention | Code | Indicative amount (Euro) |
|-------------------------------|------|--------------------------|
| Information and communication | 001 | amount |

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3. Financial plan – financial appropriations by year (EU)

Table 5

| Fund | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | Total |
|------|------|------|------|------|------|------|------|-------|
| | | | | | | | | |

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Financial plan – total financial allocation

Table 6

| SO | Type of action | Basis for calculation EU support (total or public) | Union contribution (a) | National contribution (b)=(c)+(d) | Indicative breakdown of national contribution | | Total e=(a)+(b) | Co-financing rate (f)=(a)/(e) |
|-----|--|--|------------------------|-----------------------------------|---|-------------|-----------------|-------------------------------|
| | | | | | public (c) | private (d) | | |
| SO1 | Actions co-financed in line with Art.11(1) ISF or BMVI Regulation or Art.12(1) of AMF Regulation | | | | | | | |

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Financial plan – AMF

| Table 7 | Number of persons per year | | | | | | |
|------------------------|----------------------------|------|------|------|------|------|------|
| Category | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 |
| Resettlement | | | | | | | |
| Humanitarian admission | | | | | | | |
| other categories | | | | | | | |

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Financial plan – transfers (Art. 21 CPR)

- Up to 5% of programme allocation
- Between programmes or to instruments under (in)direct management
- At programming or during implementation
- COM may object the request for transfer

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4. Framework for Programme implementation

- Horizontal enabling conditions (Annex III CPR)
- Programme authorities
- Partnership
- Communication and visibility



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Horizontal enabling conditions - Annex III CPR

1. Effective monitoring mechanisms of the public procurement market
2. *Tools and capacity for effective application of State aid rules*
3. Effective application and implementation of the EU Charter of Fundamental Rights
4. Implementation and application of the United Nations Convention on the rights of persons with disabilities

| Enabling condition | Fulfilment of enabling condition | Criteria | Fulfilment of criteria | Reference to documents | Justification |
|--------------------|----------------------------------|----------|------------------------|------------------------|---------------|
| 1 | | | Y/N | | |

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Programme authorities

| Table 11 | Name of the institution | Contact name and position | e-mail |
|--|-------------------------|---------------------------|--------|
| Managing authority | | | |
| Audit authority | | | |
| Body which receives payments from the Commission | | | |

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Partnership

- For the BMVI and the ISF, and to a certain extent also the AMF, the characteristic of the partnership approach is that many of the partners are governmental organizations, due to the monopoly these entities have in areas such as border management, internal security and asylum/return
- Commission Delegated Regulation 240/2014 on the European code of conduct on partnership in the framework of ESIF

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Partnership – example of partners

- Public authorities responsible for the application of horizontal principles
- Bodies representing civil society and social partners
- Public authorities
- Authorities in charge of other funds
- Representations of regional/local authorities/cities

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Partnership

- Information in the programme (non-exhaustive):
 - Actions taken to involve the relevant partners in the preparation of the programmes
 - Planned actions to ensure the participation of the partners in the implementation of the programmes
 - List of partners consulted

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Communication and visibility

- Objectives
- Target audiences
- Communication channels
- Planned budget
- Indicators

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5. Appendices - Use of unit costs, lump sums, flat rates and financing not linked to costs

- Not mandatory
- Can be done at any time in the programming period through programme amendment
- Appendices 1 and 2 in annex VI CPR

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Thank you for your attention

Questions?